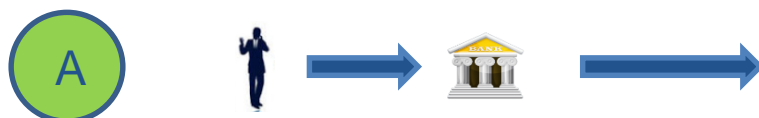


Starting to trade Eris Exchange products via voice is quick and easy, requiring minimal documentation – *certain trading scenarios do not require any documentation with Eris Exchange*

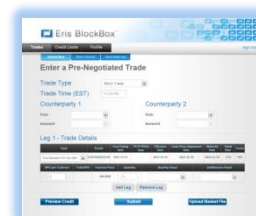
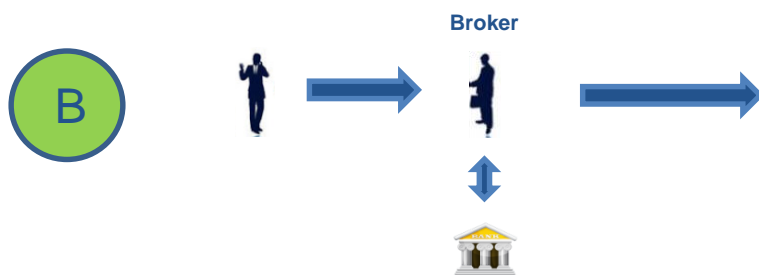
Common Voice Execution Scenarios

- **Available Counterparties:** Use existing OTC relationships with Dealer Desks and Futures Brokers registered with Eris Exchange as trading counterparties
- **Trade Reporting and Submission for Clearing:** One party calls trade details into the Eris Control Center (ECC) or reports the trade via Eris BlockBox™ to satisfy trade reporting obligations; that party or ECC also submits trade for clearing using the Eris BlockBox web portal

User Executes with Bank Dealer Desk / OTC Sales



User Executes with Futures and Options Broker



Secure Web Trade Submission Portal
-OR-
Call Trade into the Eris Control Center
(888) 587-2699, option #1

Follow these simple steps to on-board with Eris Exchange:

1. **Contact Clearing Firm:** User establishes an account with a registered [Clearing Firm](#)
2. **Clearing Firm Submits Eris Exchange Paperwork:** Clearing Firm sets up [User ID and Credit Limits](#) and [permissions Bank Dealers and/or Brokers](#) for trade reporting
3. **Clearing Firm Configures Post-Trade Allocations:** Clearing Firm modifies existing (or establishes new) Futures Give-Up Agreements for post-trade allocations, if necessary.
4. **Choose Tools:** User determines tools for pricing and trade reconciliation
 - *Market Data:* Users can access market data from the [Eris Exchange webpage](#), Bloomberg ERIS <GO> page or other third-party providers without requiring additional Eris Exchange documentation
- OR -
Obtain prices directly from Eris SwapBook after completing the [Eris Connection Agreement](#)
 - *Trade Reconciliation:* Users can use Clearing Firm reports and portals for trade reconciliation without requiring additional Eris Exchange documentation
- OR -
Access the Eris BlockBox customer portal after completing the [Eris Connection Agreement](#)

For additional information and to get started:

Contact Client Services at clientservices@erisfutures.com or call 646-961-4480

This is a step-by-step outline to start trading Eris Exchange products electronically by connecting to the Eris SwapBook electronic trading platform

- 1. Contact Clearing Firm:** Establish an account with a registered [Clearing Firm](#)
- 2. Select Front-End Trading Tool**
 - Use free, exchange-provided Eris SwapBook™ electronic trading platform
 - Use [Independent Software Vendor \(ISV\)](#)
 - Develop Proprietary System by writing directly to the [Eris SwapBook FIX APIs](#)
- 3. Complete Eris Exchange Agreements**
 - User completes the [Eris Exchange Connection Agreement](#) to connect to Eris SwapBook GUI or via the APIs; other direct connectivity may require a Connection Agreement as well
 - To access market data in a redistributable format, an [Eris Data Agreement](#) is required
- 4. Clearing Firm Submits Eris Exchange Paperwork:** Establishes User ID and Credit Limits
 - Clearing Firm submits the [Eris Exchange User ID and Credit Limits Form](#) to issue an Eris SwapBook ID and assign credit limits; see [Application of Credit Controls](#) for information on the method of calculating risk
- 5. Choose Connectivity Method**
 - Public Internet
 - Direct Cross-Connect with primary data center at CH1 or POP locations at NY4, LD4 or TK1
 - [Financial Extranet Provider](#)

Clearing Firm Contacts



For additional information and to get started:

Contact Client Services at clientservices@erisfutures.com or call 646-961-4480

www.erisfutures.com